

**PATENT**  
Attorney Docket No.: UM-02786  
UM File No.: 1342

Form PTO-1595

CORDATION FORM COVER SHEET

U.S. Department of Commerce  
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**PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Tetsufumi Ueda  
Eric D. Özkan

Additional name(s) of conveying party(ies) attached? No

2. Name and address of receiving party(ies):

THE REGENTS OF THE UNIVERSITY OF  
MICHIGAN  
3003 South State Street  
Ann Arbor, Michigan 48109-1280

Additional name(s) & address(es) attached? No

3. Nature of conveyance:

Assignment

Execution Date: June 12, 1997

4. Title of Invention: **COMPOSITIONS AND METHODS FOR THE INHIBITION OF  
NEUROTRANSMITTER UPTAKE OF SYNAPTIC VESICLES**  
Application number(s) or patent number(s):

A. Patent Application No.(s):

08/840,006

B. Patent No.(s):

Additional numbers attached? No

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Peter G. Carroll  
MEDLEN & CARROLL, LLP  
220 Montgomery Street, Suite 2200  
San Francisco, California 94104

6. Total number of applications and patents involved: 1

7. Total fee(s):

Check(s) in the amount of \$105.00 enclosed ().

8. Deposit Account Authorization:

The Commissioner is hereby authorized to charge  
any additional fees or credit any overpayment to  
Deposit Account No. 08-1290. An originally  
executed duplicate of this transmittal is enclosed  
for this purpose.

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9. Statement and Signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy  
is a true copy of the original document.*

Date: December 10, 1997

Signature: 

Name: Peter G. Carroll

Reg. No.: 32,837

Total number of pages including cover sheet, attachments, and document: 3



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
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Washington, D.C. 20231

APRIL 29, 1998

PTAS

MEDLEN & CARROLL, LLP  
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220 MONTGOMERY STREET, SUITE 2200  
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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/12/1997

REEL/FRAME: 9002/0783  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
UEDA, TETSUFUMI

DOC DATE: 06/12/1997

ASSIGNOR:  
OZKAN, ERIC D.

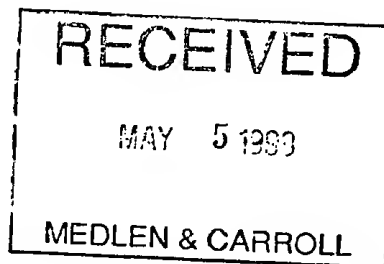
DOC DATE: 06/12/1997

ASSIGNEE:  
REGENTS OF THE UNIVERSITY OF MICHIGAN, THE  
3003 SOUTH STATE STREET  
ANN ARBOR, MICHIGAN 48109-1280

SERIAL NUMBER: 08840006  
PATENT NUMBER:

FILING DATE: 04/15/1997  
ISSUE DATE:

JEEVON JONES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



## ASSIGNMENT

WHEREAS, WE, Tetsufumi Ueda and Eric D. Özkan, hereinafter referred to as "ASSIGNOR", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **COMPOSITIONS AND METHODS FOR THE INHIBITION OF NEUROTRANSMITTER UPTAKE OF SYNAPTIC VESICLES**

Filing Date: 04/15/97

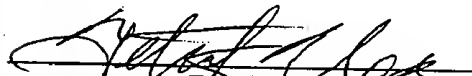
Serial No.: 08/840,006

WHEREAS, THE REGENTS OF THE UNIVERSITY OF MICHIGAN, a Michigan corporation, 3003 South State Street, Ann Arbor, Michigan 48109-1280, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNOR by ASSIGNEE and other good and valuable consideration, receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned and transferred, and by these presents does sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNOR had this assignment and transfer not been made, to the full end and term of any Letters Patent.

ASSIGNOR further agrees that ASSIGNOR will, without charge to said ASSIGNEE, but at ASSIGNEE's expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications; execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof; and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE's successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNOR has hereunto signed ASSIGNOR's names to this assignment on the date indicated below.

  
Tetsufumi Ueda

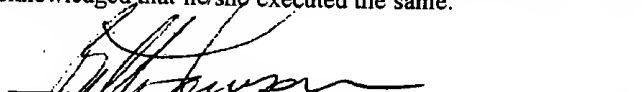
  
Eric D. Özkan

STATE OF Michigan )  
COUNTY OF Washtenaw )

ss.

BETTY LAWSON  
Notary Public, Washtenaw County, MI  
My Commission Expires July 25, 1998

On this 12<sup>th</sup> day of June, in the year of 1997, before me, the undersigned notary public, personally appeared the above-named ASSIGNOR, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same.

  
NOTARY PUBLIC

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